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Conflict Minerals: Dodd-Frank Section 1502 **7/31/13**

On August 22, 2012, the SEC (Securities and Exchange Commission) issued a final rule on “conflict minerals,” pursuant to Dodd-Frank Section 1502. The rule describes the assessment and reporting requirements for companies whose products contain “conflict minerals.” These are the Tin, Tantalum, Tungsten and Gold mined and/or smelted in the Eastern Democratic Republic of Congo (DRC) and the area thereabouts and used in a wide range of products across numerous industries.

As part of Ultratech’s material acquisition policy, we are in full support of the U.S. Department of State position, the EICC’s (Electronic Industry Citizenship Coalition) and the Global e-Sustainability Initiative statements on use of these minerals in electronic products and will take all reasonable measures to continue to avoid procurement of these “conflict minerals.” We have already identified Ultratech’s processes in question and are currently investigating them.

Ultratech has adopted the EICC–GeSI Reporting Template to collect information from our vendor supply base and their down-line contacts. This must go all the way back to the original mineral smelter. With this information, and corresponding verification, we can report that Ultratech is in the process of assembling supplier reports that meet our regulatory obligations and responsibility to the environment and human rights.



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